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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/868,698		
	Filing Date	February 8, 1999	
	First Named Inventor	Zorba	
	Group Art Unit		
	Examiner Name		
Total Number of Pages in This Submission	1	Attorney Docket Number	0522.00151

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ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Form 1449 Return Receipt Postcard
Remarks		Please charge any additional fees or credit overpayment to Deposit Account No. 01-0850. A duplicate copy of this sheet is enclosed.

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Firm or Individual name	Charles L. Miller Banner & Witcoff, Ltd.
Signature	
Date	February 4, 2002

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(Attorney Docket No. 05222.00151)

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By: Tony Harris
(person actually depositing)

In the Application of: Zorba, et al.

Serial Number: 09/868,698

Filing Date: February 8, 1999

Title: A System, Method and Article of Manufacture for a Simulation Enabled Accounting Tutorial System

X Information Disclosure Statement

X Form 1449

X Transmittal letter

X Return Postcard

Attorney Docket No.: 05222.00151

#6
8-28-03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

(Attorney Docket No. 05222.00151)



the Application of:)

Zorba, et al.)

Serial No.: 09/868,698)

Filed: February 8, 1999)

For: System, Method and Article of)

Manufacture for)

Group Art Unit: n/a

Examiner: n/a

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INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. Section 1.97-1.98, applicants wish to make the following references of record in the above-identified application. These references may be material to the patentability of the presently pending claims. A completed Form -1449 is enclosed. Due to the large number of references and large number of related applications, the Applicant filed a single copy of all of the references in U.S. Patent Application Serial No. 09/219,478 in an Information Disclosure Statement filed February 7, 2002. U.S. Patent Application Serial No. 09/219,478 is assigned to Examiner Ingberg in Group Art Unit 2122.

A Protest under 37 C.F.R. 1.291 was filed in U.S. Patent Application Serial No. 09/219,478. The Applicant is attaching a copy of the Protest, as well as a Response filed in the same application by the Applicant. The prosecution of U.S. Patent Application Serial No. 09/219,478 remains open. The Protest and Response are attached as a courtesy to the Examiner, but are not being listed on the attached Form-1449, because they are not prior art references.

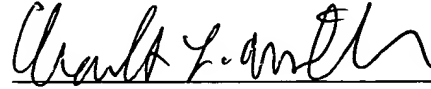
This Information Disclosure Statement is being filed within three months of the application filing date or before the mailing of a first Office Action on the merits, accordingly, no fee or separate requirements are required. However, if a fee is due in

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Respectfully submitted,
BANNER & WITCOFF, LTD.

Date: February 7, 2002

A handwritten signature in dark ink, appearing to read "Charles L. Miller", is written over a horizontal line.

Charles L. Miller
Reg. No. 43,805

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Application Number : 09/868,698

Filing Date : 02/08/1999

First Named Inventor: Zorba

Group Art Unit: n/a

Examiner Name: n/a

Attorney Docket Number: 05222.00151

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Sheet _____ of _____

U.S. PATENT DOCUMENTS					
Examiner Initials *	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
		US-4,622,013	11/11/1986	Cherchio	
		US- 4,874,784	10/17/1989	Clancey	
		US- 4,981,766	01/02/1990	Derr, et al.	
		US- 4,931,950	06/05/1990	Isle, et al.	
		US- 4,964,077	10/16/1990	Eisen, et al.	
		US- 4,977,529	12/11/1990	Gregg, et al.	
		US- 5,002,491	03/26/1991	Abrahamson, et al.	
		US- 5,170,464	12/08/1992	Hayes, et al.	
		US- 5,189,402	02/23/1993	Naimark, et al.	
		US- 5,208,745	05/04/1993	Quentin, et al.	
		US- 5,208,898	05/04/1993	Funabashi, et al.	
		US- 5,239,617	08/24/1993	Gardner, et al.	
		US- 5,259,766	11/09/1993	Sack et al.	
		US- 5,267,865	12/07/1993	Lee, et al.	
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		US- 5,311,422	05/10/1994	Loftin, et al.	
		US- 5,317,688	05/31/1994	Watson, et al.	
		US- 5,326,270	07/05/1994	Ostby, et al.	
		US- 5,359,701	10/25/1994	Chiang, et al.	
		US- 5,372,507	12/13/1994	Goleh	

FOREIGN PATENT DOCUMENTS						
Examiner Initials *	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
		EP 0 689 132 A2	12/27/95	Laffra		
		EP 0 710 942 A2	05/08/96	Siefert		
		EP 0 710 942 A2	05/08/96	Siefert		
		EP 0 798 655 A2	10/01/97	Jervis, et al.		
		WO 00/04478	01/27/00	Jonsson		
		WO 97/44766	11/27/97	Cook		
		WO 98/03953	01/29/98	Simmons		
		WO 98/25251	06/11/98	Ho		
		WO 98/32109	07/23/98	De Lange		

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Application Number : 09/868,698

Filing Date : 02/08/1999

First Named Inventor: Zorba

Group Art Unit: n/a

Examiner Name: n/a

Attorney Docket Number: 05222.00151

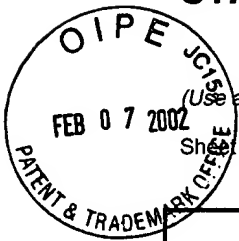
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		"Evaluating the effectiveness of feedback in SQL-tutor", IEEE, proc. Int. workshop IWALT, pp 143-144	
		"Automated Exercise Progression in Simulation-Based Training," IEEE Trans. On Systems, Man, and Cybernetics, Vol. 24(6), pp. 863-74, June 1994	
		"ACM Transactions on Information Systems," 1988 at www.acm.org/pubs/toc/Abstracts/tois/59298.html	
		The Virtual Classroom: Great Expectations. Delivering Graduate Education by Computer: A Success Story, 1996.	
		"A Special Section -- Goal Based Scenarios: A New Approach to Professional Education: Reengineering Education at Andersen Consulting," Educational Technology, Nov.-Dec. 1994	
		"Goal-Based Scenarios and the Problem of Situated Learning: A Commentary on Andersen Consulting's Design of Goal-Based Scenarios," Educational Technology, Nov.-Dec. 1994	
		"DDD--A Free Graphical Front-End for UNIX Debuggers," Jan. 1996, ACM Sigplan Notices, Vol. 31, No. 1, pp. 22-27	
		"Automated Training of Legal Reasoning" at http://www.bileta.ac.uk/94papers/muntjew.html	
		"The Virtual Learning Environment System," 28th Annual Frontiers in Education Conference, Conference Proceedings, Vol. 2, Nov. 4-7, 1998	
		"Developing a Design System into an Intelligent Tutoring System," Int'l J. Engr. Eud., Vol. 13(5), pp-341-46, Dec. 1997	
		"CAPTOR a model for delivering web based intelligent tutoring system technology", IEEE Proc. DASC vol. 2, pp 5.C.4.1-5	

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Attorney Docket Number: 05222.00151

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Application Number : 09/868,698

Filing Date: 02/08/1999

First Named Inventor: Zorba

Group Art Unit: n/a

Examiner Name: n/a

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		"Bridging the Virtual and the Physical: The InterSim as a Collaborative Support Interface," Proc. Of 1997 World Conf. On Artificial Intelligence in Education, pp. 556-58, Dec. 1997	
		"Task-Oriented Learning on the Web"; Innovations in Education and Training International, Vol. 36, No. 1, Feb. 1999	
		"Applications of BrainMaker Neural Network to stocks, business, medical, manufacturing" at www.calsci.com/Applications.html	
		"KBLPS Overview" at www.cgi.com/CGIWEB/KBLPS/overindex4.html	
		"Smartlaw: adapting classic expert system techniques for the legal research domain", ACM pp 133-141	
		"Interactive Multimedia Instructs the Individual," Oct. 1994, Occupational Health & Safety Vol. 63, No. 10, pp. 144-145	
		"Practical methods for automatically generating typed links", ACM Hypertext, pp 31-41	
		"Popular Theory Supporting the Use of Computer Simulation for Experiential Learning," http://www.centurionsys.com/rcl57.html , Aug. 1997	
		"Technical Report: Computer Aided Education and Training Initiative" at http://advlearn.lrdc.pitt.edu/advlearn/papers/FINALREP.html	
		"FRA: Teaching Financial Accounting with a Goal-Based Scenario," Intelligent Systems in Accounting, Finance and Management, Vol. 4, 1995	
		"Teaching Real-World Analysis Skills for Goal-Based Scenario," pp. 68-74	

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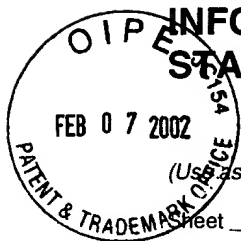
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Application Number : 09/868,698

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Attorney Docket Number: 05222.00151

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		Number - Kind Code ² (if known)			
		US-5,727,950	03/17/1998	Cook, et al.	
		US- 5,745,652	04/28/1998	Bigus	
		US- 5,772,446	06/30/1998	Rosen	
		US- 5,779,468	07/14/1998	Ho, et al.	
		US- 5,788,508	08/04/1998	Lee, et al.	
		US- 5,791,907	08/11/1998	Ramshaw, et al.	
		US- 5,799,151	08/25/1998	Hoffer	
		US- 5,799,292	08/25/1998	Hekmatpour	
		US- 5,806,056	09/08/1998	Hekmatpour	
		US- 5,810,747	09/22/1998	Brudney, et al.	
		US- 5,822,745	10/13/1998	Hekmatpour	
		US- 5,823,781	10/20/1998	Hitchcock, et al.	
		US- 5,823,788	10/20/1998	Lemelson, et al.	
		US- 5,835,683	11/10/1998	Corella, et al.	
		US- 5,868,575	02/09/1999	Kuczewski	
		US- 5,870,768	02/09/1999	Hekmatpour	
		US- 5,875,437	02/23/1999	Atkins	
		US- 5,889,845	03/30/1999	Staples, et al.	
		US- 5,893,123	04/06/1999	Tuinenga	
		US- 5,911,581	06/15/1999	Knight Jeremy, et al.	

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Examiner Signature		Date Considered	
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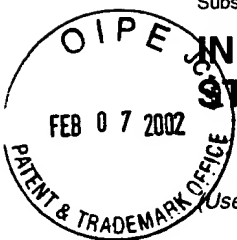
³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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First Named Inventor: Zorba

Group Art Unit: n/a

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		"Artificial Intelligence and Mathematics Education" at http://www.rand.org/hot/mcarthur/Papers/aiad.html	
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		"WITS: A Reusable Architecture for a VR-Based ITS" at http://advlearn.lrdc.pitt.edu/its-arch/papers/tam.html	
		"Brainmaker" at www.npiec.on.ca/~echoscan/28-04.htm	
		"News for ESAP" at www.hops.wharton.upenn.edu/~esap/news.html	
		"Learning with Computers," May 1994, Accountancy Vol. 113, No. 1209, pp. 60-64	
		"User-Sensitive Multimedia Presentation System," March 1, 1996, Vol. 39, No. 3, pp. 93-94	
		"Microworlds and Simuworlds: Practice Fields for the Learning Organization," Spring 1996, Organizational Dynamics Vol. 24, No. 4, pp. 36-49	
		"What are Intelligent Coaching Systems and Why are they (in)evitable?" IEEE Colloquium on Artificial Intelligence in Educational Software, pp. 2/1-2/5, June 1998	
		"Development of an Integrated Simulator and Real Time Plant Information System," Advances in Operational Safety of Nuclear Power Plants, Proceedings of an International Symposium 1996.	

Examiner
SignatureDate
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Application Number : 09/868,698

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First Named Inventor: Zorba

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		"A Goal-Centered Architecture for Intelligent Tutoring Systems," Proc. Of 7th World Conf. On Artificial Intelligence in Education, pp. 307-314, Aug. 1995	
		"Smart Avatars in JackMOO," Proceedings of the 1999 IEEE Conference on Virtual Reality, pp. 156-63	
		"Embedding an Intelligent Tutoring System in a Business Gaming-Simulation Environment," Proc. Of the 1994 Winter Simulation Conference, pp. 1399-1406, Dec. 1994	
		"Evaluating Intelligent Tutoring with Gaming Simulations," Proc. Of the 1995 Winter Simulation Conf., pp. 1376-83, Dec. 1995	
		"Persistent Issues in the Application of Virtual Environment Systems to Training," August 1996, Proceedings. Third Annual Symposium on Human Interaction with Complex Systems, IEEE, pp. 124-32.	
		"The Lisp Tutor," Byte, pp. 159-75, Apr. 1985	
		"Pedagogical, natural language and knowledge engineering techniques in SOPHIE I, II, and III," in Intelligent Tutoring Systems, D. Sleeman & J.S. Brown eds., pp. 227-82, Dec. 1982	
		Interactive Multimedia Distance Learning (IMDL): The Prototype of the Virtual Classroom, 1994.	
		"Understanding Organizational Dynamics of IT-Enabled Change: A Multipedia Simulation Approach," Winter, 1997/1998, Journal of Mangement Information Systems: JMIS, Vol. 14, No. 3, pp. 109-140.	
		"Intelligent Computer-Aided Instruction: A Survey Organized Around System Components," Jan. 1989, IEEE Inc., New York, Vol. 49, No. 1, pp. 40-57.	

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Application Number : 09/868,698

Filing Date : 02/08/1999

First Named Inventor: Zorba

Group Art Unit: n/a

Examiner Name: n/a

Attorney Docket Number: 05222.00151

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		"Conducting and Supporting a Goal-Based Scenario Learning Environment," Educational Technology, Nov.-Dec. 1994	
		"Interface design issue for advising giving expert systems", Comm. Of the ACM, vol 30, no. 1, pp14-31	
		"An Object-Oriented Architecture for Evolutional Development of Interactive Learning Environment with Coached Problem-Solving," Proc. Of 1997 World Conf. On Artificial Intelligence in Education, pp. 592-94, Dec. 1997	
		"Architecture of an Intelligent Tutoring System on the WWW," Proc. Of 1997 World Conf. On Artificial Intelligence in Education, pp. 39-46 Dec. 1997	
		"Socialized Collaborative Learning in Multimedia Virtual Worlds" URL: http://www.iscs.nus.edu.sg/labs/learning/lels/VRML.html ; viewed Feb. 16, 1999	
		Flexible Learning, Feb. 1998, Credit Union Management Vol. 21, No. 2, pp. 32-33+	
		"Teaching Through Case-Based Reasoning: An ITS Engine Applied to Business Communication," Proc. Of 1997 World Conf. On Artificial Intelligence in Education, pp. 111-18 Dec. 1997	
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		"Virtual Learning: A Revolutionary Approach to Building a Highly Skilled Workforce," Autumn 1998, Personnel Psychology Vol. 51, No. 3, pp. 767-71	
		"Intelligent Tutoring Systems: An Overview" at http://www.intellectbooks.com/authors/lawler/its.htm	

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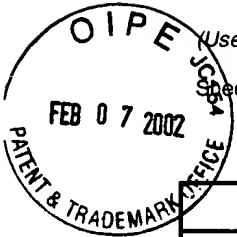
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		"No More Boring CPE," July 1997, Accounting Technology, pp. 27-35	
		Computer Dictionary, 1997, pp. 264, 276, 383, 446, 462, 507.	
		"Rule-Based Programming with OPS5" at www.mkp.com/books_catalog/O-934613-51-6.asp	
		"From Computer-Assisted Instruction to Intelligent Tutoring Systems," J. Artificial Intelligence in Education, V. 2(3), pp. 39-50, Dec. 1997	
		"MUSE U.S. Patents" at www.muser.com/html/patents.html	
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		"An Electronic Infrastructure for a Virtual University," Communications of the ACM, Vol. 40, No. 9, Sep. 1997.	
		"Simulation Technology and Parallelism in Learning Environments" at http://www.to.utwente.nl/prj/min/Book/chapter1.htm	

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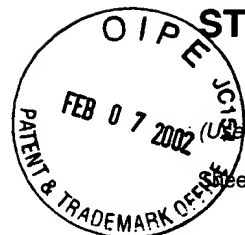
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		"Engines for Education" URL: http://www.ils.nwu.edu/~e_for_e/nodes/I-M-INTRO-ZOOMER-pg.html ; viewed Feb. 15, 1999.	
		"FinPlan System" at www.riai.org.ru/FinPlan/	
		"Enhancing Simulation Education with Intelligent Tutoring Systems," Proc. Of the 1996 Winter Simulation Conf., pp. 675-80, Dec. 1996	
		"Computer Aided Instruction for Statistics: A Knowledge-Based Systems Approach," Int'l J. of Computers in Adult Education and Training, Vol. 5(1), pp. 3-14.	
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		"Development of a Simulation-Based Intelligent Tutoring System for Assisting PID Control Learning," Jan. 1994, IEICE Transactions on Information and Systems, E77-D, No. 1, Tokyo Japan, pp. 108-17.	
		"Authoring Intelligent Tutoring Systems: An Analysis of the State of the Art" at http://www.cs.umass.edu/~tmurray/papers/ATSummary/AuthTools.html	
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		"Decision Pro3.0" at www.vanguardsw.com/	

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		"A Fuzzy Logic-Based Intelligent Tutoring System," Information Processing 92, Vol. II, pp. 66-72, Dec. 1992.	
		"The SimQuest Authoring System for Simulation-Based Discovery Learning," Proc. Of 1997 World Conf. On Artificial Intelligence in Education, pp. 79-86, Dec. 1997	
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		"Goal-Based Scenarios and Business Training: A Conversation with Roger C. Schank," Educational Technology, Nov.-Dec. 1994	
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		"Kiplinger TaxCut Press Releases" at http://www.taxcut.com/taxcut/98press_releases/pr98_nowshipping.html	
		"TurboTax Deluxe Product Information" at http://www.intuit.com/turbotax/prodinfo/tldlx.html	
		"Why Should the Teens Have All the Best Games? Management Skill with Oil, Health, Housing Games," Computergram Int'l, June 176, 1996	
		"Template Software Business Simulator, Chapter 8, August 1997	
		"Template Software Workflow Simulator, Chapter 8, June 1998	
		"Goal-Based Scenarios", Technical Report # 36, Northwestern University, The Institute for the Learning Sciences, December 1992, pp. 1-30	

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		"Eight Goal-Based Scenario Tools", Technical Report # 67, Northwestern University, The Institute for the Learning Sciences, January 1996, pp. 1-37	
		"A Role for AI in Education: Using Technology to Reshape Education", Northwestern University, The Institute for the Learning Sciences, Journal of Artificial Intelligence in Education, Winter 1990, January 1990, pp. 1-24 and 2 pgs. of references	
		"The Design of Goal-Based Scenarios", Technical Report # 39, Northwestern University, The Institute for the Learning Sciences, March 1993, pp. 1-58	

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